### PATENT APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q94473

Group Art Unit: Unknown

Yoshiaki SONOBE, et al.

Appln. No.: Based on PCT/JP2005/011926

Confirmation No.: Unknown

Filed: April 21, 2006 Examiner: Unknown

For: PERPENDICULAR MAGNETIC RECORDING DISK AND MANUFACTURING

METHOD THEREOF

# <u>UNDER 37 C.F.R. §§ 1.97 and 1.98</u>

#### MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after

filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses herewith a copy of a Communication from a foreign patent office in a counterpart application citing such documents, together with an English-language version (if not already included) of at least that portion of the Communication indicating the degree of relevance found by the foreign patent office.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant submits the following explanations: English language abstracts, submitted herewith, constitute concise statements of relevance for JP-A-2003-272121, JP-A-2004-192711, JP-A-2004-310910, JP-A-2002-92865, and for non-patent literature documents (articles) by H. MURAOKA and by S. TAKENOIRI, et al. Further, JP-A-2002-92865 is cited on page 2 in the specification of the above-identified application.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

# **10/5767**55 IAP15 Rac'd PCT/PTO 21 APR 2006

INFORMATION DISCLOSURE STATEMENT U.S. Appln. No.: Based on PCT/JP2005/011926

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account.

Respectfully submitted,

Registration No. 25,426

SUGHRUE MION, PLLC

Telephone: (202) 293-7060 Facsimile: (202) 293-7860

Date: April 21, 2006

IAP15 Rec'd PCT/PTO 21 APR 2006

						MODIFIED PTO/SB/08 A & B (06-03)	
Substitute for Form 1449 A & B/PTO				Complete if Known 2 16 15			
				Application Number	Based on PCT/JP2005/011926		
INFORMATION DISCLOSURE				Confirmation Number	Unknown		
STATEMENT BY APPLICANT				Filing Date	April 21, 2006		
<u> </u>					First Named Inventor	Yoshiaki SONOBE	
(use as many sheets as necessary)					Art Unit	Unknown	
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Sheet	1	of	1		Attorney Docket Number	Q94473	

			U.S.	PATENT DOCU	JMENTS
		Document Number			
Examiner Initials*	Cite No. <sup>1</sup>	Number	Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		US 6,468,670	B1	10-22-2002	Y. IKEDA, et al.
		US			
		US		,	
		US			

FOREIGN PATENT DOCUMENTS							
Examiner Cite Initials* No.1	Foreign Patent Document			Publication Date	Name of Patentee or		
	Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation <sup>6</sup>	
		JР	2003-272121	Α	09-26-2003	Fuji Photo Film co., Ltd.	Abstract
	-	JР	2004-192711	A	07-08-2004	Fuji Photo Film co., Ltd.	Abstract
		JР	2004-310910	A	11-04-2004	Showa Denko K.K. Tokyo Shibaura Electric Co.	Abstract
		JP	2002-092865	A	03-29-2002	Hoya Corp.	Abstract

Examiner Cite Initials* No.1		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	
		Y. SONOBE, et al., "Thermally Stable CGC Perpendicular Recording Media With Pt-Rich CoPtCr and Thin Pt Layers", IEEE Transactions on Magnetics, Vol. 38, No. 5, September 2002, pp. 2006-2011	
		T. OIKAWA, et al., "Microstructure and Magnetic Properties of CoPtCr-SiO <sub>2</sub> Perpendicular Recording Media", IEEE Transactions on Magnetics Vol. 38, No. 5, September 2002, pp. 1976-1978	
		Y. SONOBE, et al., "Thermal Stability and SNR of Coupled Granular/Continuous Media", IEEE Transactions on Magnetics Vol. 37, No. 4, July 2001, pp. 1667-1670	
		H. MURAOKA, "Migration Pathway to Next Generation Perpendicular Magnetic Recording", Article for the 135 <sup>th</sup> Topical Symposium of the Magnetic Society of Japan, March 12, 2004	Abstract
		S. TAKENOIRI, et al., "Development and Issue of CoPtCr-SiO <sub>2</sub> Perpendicular Recording Media", Article for the 135 <sup>th</sup> Topical Symposium of the Magnetic Society of Japan, March 12, 2004	Abstract

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1		
Examiner Signature	Date Considered	
Examiner Signature	Date considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.